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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors: Yves Louis Gabriel AUDEBERT, et al.

Application No.: 09/844,246 Art Unit: 2182

Filed: April 30, 2001

For: METHOD AND SYSTEM FOR ESTABLISHING A REMOTE  
CONNECTION TO A PERSONAL SECURITY DEVICE

NOTICE OF CO-PENDING RELATED APPLICATIONS  
PURSUANT TO 37 CFR §1.56 MPEP 2001.06(b)

Assistant Commissioner of Patents  
Washington, D. C. 20231

Sir:

Pursuant to 37 CFR §1.56 and MPEP 2001.06(b), the applicants hereby call to the attention of the Patent and Trademark Office the following applications which may be deemed to be co-pending related applications to the present application:

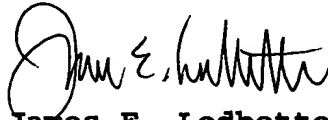
- (1) 10/476,329;
- (2) 10/476,316;
- (3) 09/844,439;
- (4) 09/844,272;
- (5) 09/985,344;
- (6) 10/085,127; and
- (7) 10/476,416.

11/24/2004 HTECKLU1 00000043 09844246  
01 FC:1806 100.00 DF

It is requested that the prior art references from each application be reviewed by the examiner and made of record in the present application. To assist the examiner, submitted herewith is an Information Disclosure Statement directed to making the art

currently of record in each of the above-listed cases also of record in the present application.

Respectfully submitted,



Date: November 23, 2004

James E. Ledbetter  
Registration No. 28,732

JEL/ejw

ATTORNEY DOCKET NO. L741.01101  
STEVENS, DAVIS, MILLER & MOSHER, LLP  
1615 L STREET, NW, SUITE 850  
WASHINGTON, DC 20043-4387  
Telephone: (202) 785-0100  
Facsimile: (202) 408-5200



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors: Yves Louis Gabriel AUDEBERT, et al.

Application No.: 09/844,246 Art Unit: 2182

Filed: April 30, 2001

For: METHOD AND SYSTEM FOR ESTABLISHING A REMOTE  
CONNECTION TO A PERSONAL SECURITY DEVICE

INFORMATION DISCLOSURE STATEMENT  
AND FEE UNDER 37 CFR §1.17(p)

Assistant Commissioner of Patents  
Washington, DC 20231

Dear Sir:

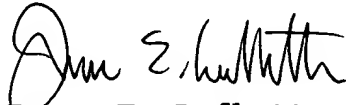
Pursuant to Rules 56 and 99, Applicants hereby call the attention of the Patent Office to the art listed on the attached PTO Forms 1449. These Forms PTO 1449 bring to the attention of the Examiner the art cited in the applications listed in the Notice of Co-Pending Related Applications filed concurrently herewith.

Applicants present these references so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention, see Beckman Instruments, Inc. v. Chemtronics, Inc., 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104 and 106. Applicants respectfully request that these references be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

The fee of \$180.00 set forth in 37 CFR §1.17(p) is enclosed (Check No. 41332).

The Commissioner of Patents and Trademarks is hereby authorized to charge any additional fee (or credit any overpayment) to Deposit Account No. 19-4375.

Respectfully submitted,



Date: November 23, 2004

James E. Ledbetter  
Registration No. 28,732

JEL/ejw  
ATTORNEY DOCKET NO.: L741.01101  
STEVENS, DAVIS, MILLER & MOSHER, L.L.P.  
1615 L STREET, NW, Suite 850  
P.O. Box 34387  
WASHINGTON, DC 20043-4387  
Telephone: (202) 785-0100  
Facsimile: (202) 408-5200

**FORM PTO-1449** U.S. Department of Commerce  
(Rev. 4/92) Patent and Trademark Office

ATTY. DOCKET NO.

**L741.01101**

SERIAL NO.  
09/844.24

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

**APPLICANT**

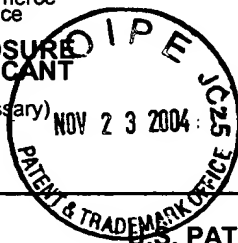
**Yves Louis Gabriel AUDEBERT, et al.**

FILING DATE

**DATE**  
**April 30, 2001**

GROUP

2182



# U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

**N. Itoji, et al., "Secure Internet Smartcards," CITI Technical Report 00-6,  
<http://www.citi.umich.edu/projects/smartcard/>, Center for Information Technology Integration,  
University of Michigan, Aug. 24, 2000.**

**EXAMINER**

**DATE CONSIDERED**

**EXAMINER:** Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])



Our Ref.. L741.01102

Serial No.: 09/844,439

## Information Disclosure Statement of January 17, 2003

ATTY. DOCKET NO.

**L741.01101**

SERIAL NO.

**SERIAL NO.**  
**09/844,246**

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT

**Yves Louis Gabriel AUDEBERT, et al.**

FILING DATE

**DATE**  
**April 30, 2001**

GROUP

2182

## PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

**T. EBRINGER, et al.: "Parasitic Authentication To Protect Your E-Wallet," Computer, IEEE Computer Society, Long Beach, CA, US, US, vol. 33, no. 10, October 1, 2000, XP001001747, ISBN: 0018-9162, pp. 54-60.**

**EXAMINER**

DATE CONSIDERED

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Our Ref.: L741.01102

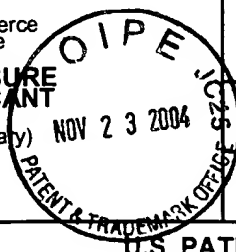
Serial No.: 09/844,439

## Information Disclosure Statement of October 19, 2001



FORM PTO-1449  
(Rev. 4/92)U.S. Department of Commerce  
Patent and Trademark OfficeINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)



ATTY. DOCKET NO.

L741.01101

SERIAL NO.

09/844,246

APPLICANT

Yves Louis Gabriel AUDEBERT, et al.

FILING DATE

April 30, 2001

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2182

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLAS S	SUBCLASS	FILING DATE IF APPROPRIATE
	6 1 3 1 8 1 1	10/2000	Gangi			
	6 1 0 1 2 5 4	08/2000	Thiriet			
	6 0 0 5 9 4 2	12/1999	Chan et al.			
	5 9 9 1 4 0 7	11/1999	Murto			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLAS S	SUBCLASS	TRANSLATION	
					YES	NO


## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999.
	Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000.
	Global Platform, Multi Application- Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000.
	ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1.
	ISO/IEC 7816-4: 1995(E) Information technology, Identification cards, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange.
	ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1.
	ISO/IEC 7816-5: 1994(E) Identification cards, Integrated circuit(s) cards with contact, Part 5: Numbering system and registration procedure for application identifiers.
	Java Card 2.1 Application Program Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999.
	Chen, Zhiqun, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999.
	Chen, Zhiqun et al., "Understanding Java Card 2.0, " Javaworld, March 1998.
	Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000.

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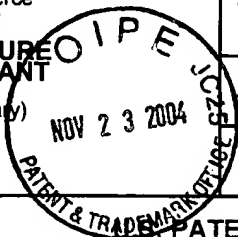
Our Ref. L741.01103  
Serial No.: 09/844,272

Information Disclosure Statement  
of October 19, 2001

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	6 1 0 1 2 5 4	08/2000	Thiriet			
	6 0 0 5 9 4 2	12/1999	Chan et al.			
	5 9 9 1 4 0 7	11/1999	Murto			

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	Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000.
	Global Platform, Multi Application- Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000.
	ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1.
	ISO/IEC 7816-4: 1995(E) Information technology, Identification cards, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange.
	ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1.
	ISO/IEC 7816-5: 1994(E) Identification cards, Integrated circuit(s) cards with contact, Part 5: Numbering system and registration procedure for application identifiers.
	Java Card 2.1 Application Program Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999.
	Chen, Zhiqun, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999.
	Chen, Zhiqun et al., "Understanding Java Card 2.0, " Javaworld, March 1998.
	Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000.

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Our Ref.: L741.01103  
Serial No.: 09/844,272

Information Disclosure Statement  
of January 17, 2003

**FORM PTO-1449**  
(Rev. 4/92)

U.S. Department of Commerce  
Patent and Trademark Office

ATTY. DOCKET NO.

**L741.01101**

SERIAL NO.  
09/844.246

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

**APPLICANT**

**Yves Louis Gabriel AUDEBERT, et al.**

FILING DATE

**DATE**  
**April 30, 2001**

**GROUP**

2182

**U.S. PATENT DOCUMENTS**

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

**International Search Report dated August 23, 2002.**

**EXAMINER**

**DATE CONSIDERED**

**EXAMINER:** Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Our Ref.: L741.01107

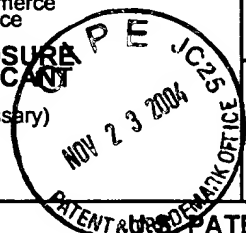
Serial No.: 09/985,344

## Information Disclosure Statement of January 31, 2002

FORM PTO-1449 U.S. Department of Commerce  
(Rev. 4/92) Patent and Trademark Office

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)



ATTY. DOCKET NO.

L741.01101

SERIAL NO.  
09/844,246

APPLICANT

Yves Louis Gabriel AUDEBERT, et al.

FILING DATE

April 30, 2001

GROUP

2182

**PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
✓	6 1 0 1 2 5 4	08/2000	Thiriet			
✓	6 1 3 1 8 1 1	10/2000	Gangl			
✓	6 0 0 5 9 4 2	12/1999	Chan et al.			

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

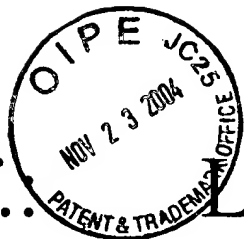
✓	Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999.
✓	Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000.
✓	Global Platform, Multi Application- Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000.
✓	ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1.
✓	ISO/IEC 7816-4: 1995(E) Information technology, Identification cards, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange.
✓	ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1.
✓	ISO/IEC 7816-5: 1994(E) Identification cards, Integrated circuit(s) cards with contact, Part 5: Numbering system and registration procedure for application identifiers.
✓	Java Card 2.1 Application Programming Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999.
✓	Chen, Zhiquan, "How to write a Java Card applet: a Developer's Guide", Javaworld, July 1999.
✓	Chen, Zhiquan et al., "Understanding Java Card 2.0, " Javaworld, March 1998.
✓	Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000.

EXAMINER

DATE CONSIDERED

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Our Ref.: L741.01107  
Serial No.: 09/985,344



Information Disclosure Statement  
of May 21, 2003



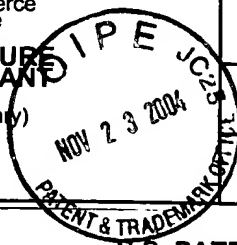
FORM PTO-1449 U.S. Department of Commerce  
(Rev. 4/92) Patent and Trademark Office

ATTY. DOCKET NO.

L741.01101

SERIAL NO.  
09/844,246INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)



APPLICANT

Yves Louis Gabriel AUDEBERT, et al.

FILING DATE

April 30, 2001

GROUP

2182

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6 0 1 8 7 7 9	01/2000	Blumenau			
	6 1 2 8 3 3 8	10/2000	Behaghel et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO
0 9 2 3 2 1 1	06/1999	EP			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	International Search Report dated April 23, 2003.
	European Telecommunications Standards Institute: "Digital Cellular Telecommunications System (Phase 2+) (GSM); Universal Mobile telecommunications System (UMTS); Security Mechanisms for the (U) SIM application toolkit; Stage 2; (3GPP TS 23.048 version 4.1.0 Release 4)" ETSI TS 123 048 V4.1.0, September 2001, pp. 1-35, XP002237189 ETSI Technical Specification.
	"Digital Cellular Telecommunications System (Phase 2+) (GSM); Specification of the SIM Application Toolkit for the Subscriber Identity Module - Mobile Equipment (SIM - ME) Interface (GSM 11.14 version 8.3.0 Release 1999)" ETSI TS 101 267 V8.3.0, XX, XX, August 2000, pp. 1-69, 114, 115, XP002222021.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Our Ref... L741.02102

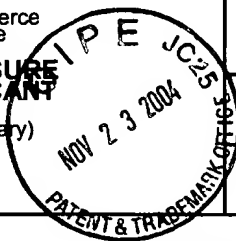
Serial No.: 10/085,127

Information Disclosure Statement  
of May 23, 2002

FORM PTO-1449 U.S. Department of Commerce  
(Rev. 4/92) Patent and Trademark Office

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use several sheets if necessary)



ATTY. DOCKET NO.

L741.01101

SERIAL NO.

09/844,246

APPLICANT

Yves Louis Gabriel AUDEBERT, et al.

FILING DATE

April 30, 2001

GROUP

2182

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLAS S	SUBCL ASS	FILING DATE IF APPROPRIATE

## **FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLAS S	SUBCL ASS	TRANSLATION
					YES NO

## **OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology-Identification cards-Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1, pp. 1-5, December 15, 1997.

ISO/IEC 7816-4: 1995(E) Information technology-Identification cards-Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, pp. 1-46, September 1, 1995.

US Patent Application Serial No. 09/844,246 entitled "Method And System For Establishing A Remote Connection To A Personal Security Device", filed on April 30, 2001 by Y. AUDEBERT, et al.

US Patent Application Serial No. 09/844,439 entitled "Method And System For Authentication Through A Communications Pipe", filed on April 30, 2001 by Y. AUDEBERT, et al.

EXAMINER

DATE CONSIDERED

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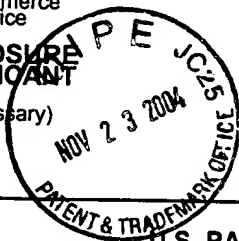


Our Ref.. L741.02102  
Serial No.: 10/085,127

## Information Disclosure Statement of June 25, 2003

FORM PTO-1449 U.S. Department of Commerce  
(Rev. 4/92) Patent and Trademark OfficeINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)



ATTY. DOCKET NO.

L741.01101

SERIAL NO.  
09/844,246

APPLICANT

Yves Louis Gabriel AUDEBERT, et al.

FILING DATE

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6 1 0 8 7 8 9	08/2000	Dancs et al.			
	5 7 7 8 0 7 1	07/1998	Caputo et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO
0 1 1 6 9 0 0	03/2001	WO			
1 9 7 2 4 9 0 1	12/1998	DE			
2 7 7 9 0 1 8	11/1999	FR			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

International Search Report dated May 28, 2003.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.



Our Ref.: L741.03108

Serial No.: 10/476,329

## Information Disclosure Statement of May 5, 2004

FORM PTO-1449 U.S. Department of Commerce  
(Rev. 4/92) Patent and Trademark Office

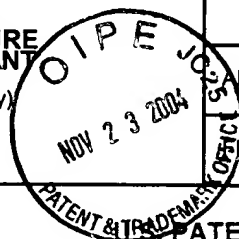
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L741.01101

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APPLICANT

Yves Louis Gabriel AUDEBERT, et al.

FILING DATE

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## PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6 1 3 1 8 1 1	10/2000	Gangi			
	6 1 0 1 2 5 4	08/2000	Thiriet			
	6 0 0 5 9 4 2	12/1999	Chan et al.			
	5 9 9 1 4 0 7	11/1999	Murto			
	6 2 7 9 0 4 7	08/2001	Bublitz et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
9 8 5 2 1 6 1	11/1998	WO				
0 1 5 9 7 3 0	08/2001	WO				
0 9 1 1 7 7 2	04/1999	EP				
1 9 5 2 2 5 2 7	01/1997	DE				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999.
	Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000.
	Global Platform, Multi Application- Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000.
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	Chen, Zhiquan et al., "Understanding Java Card 2.0, " Javaworld, March 1998.
	Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000.
	International Search Report dated August 23, 2002.

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**FORM PTO-1449** U.S. Department of Commerce  
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Our Ref.: L741.03109

Serial No.: 10/476,316

Information Disclosure Statement  
of May 7, 2004

FORM PTO-1449 U.S. Department of Commerce  
(Rev. 4/92) Patent and Trademark Office

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6 1 0 1 2 5 4	08/2000	Thiriet			
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ATTY. DOCKET NO.

**L741.01101**

SERIAL NO. 00124424

**09/844,246**

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

**APPLICANT**

**Yves Louis Gabriel AUDEBERT, et al.**

FILING DATE: 11/11/2014

**April 30, 2001**

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**T. EBRINGER, et al.:** "Parasitic Authentication To Protect Your E-Wallet," Computer, IEEE Computer Society, Long Beach, CA, US, vol. 33, no. 10, October 1, 2000, XP001001747, ISBN: 0018-9162, pp. 54-60.

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Our Ref.: L741.03110

Serial No.: 10/476,416

Information Disclosure Statement  
of May 7, 2004

FORM PTO-1449 U.S. Department of Commerce  
(Rev. 4/92) Patent and Trademark Office

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	✓		0	9	1	1	7	7	2	04/1999	EP				
	✓	1	9	5	2	2	5	2	7	01/1997	DE				

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